

3-6-02

A

PATENT

Express Mail Label No.: ET923835161US

Date of Deposit: March 5, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

03/05/02  
J1165 U.S. PRO

J1002 U.S. PRO  
10/091193  
03/05/02

Commissioner of Patents  
Washington, D.C. 20231

Date: March 5, 2002  
Docket No. YOR91999-0420-US2  
Prior Art Unit: 2811  
Prior Ex. Ori Nadav

Sir:

This is a request for filing a ☐ continuation ☐ divisional ☒ continuation-in-part under 37 C.F.R. 1.53(d) of pending prior application Serial No. 09/579,044 filed on May 26, 2000 of H.H. Chen, et al for Semiconductor High Dielectric Constant Decoupling Capacitor Structures and Process For Fabrication.

1. ☐ As last amended, the title has been changed to \_\_\_\_\_.
2. ☐ As last amended, the name of applicant has been changed to \_\_\_\_\_.
3. ☒ Enclosed is ☒ a copy of the oath or declaration as filed in the prior application or ☐ a new oath or declaration.
4. ☒ The filing fee is calculated below:

Claims as filed in this application	Number Filed	Number Extra	Rate	Basic Fee \$ 740.00
Total Claims	20- 20 =	3	X \$18	= 0.00
Independent Claims	2- 3 =	0	X \$84	= 0.00
Multiple Dependent Claims, if any (\$280)				=
Total Filing Fee -----				\$ 740.00

5. ☒ Charge Deposit Account 50-0510 in the amount of \$ 740.00.
- ☒ Charge any other fees which may be required, except for the Issue Fee, or credit any overpayment to Deposit Account No. 50-0510.
6. ☐ Cancel in this application original Claims \_\_\_ of the prior application before calculating the filing fee.
7. ☒ Amend the specification by inserting before the first line the sentence: - This is a ☐ continuation ☐ division ☒ continuation-in-part of application Serial No. 09/579,044, Filed May 26, 2000.
8. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ In \_\_\_\_\_ is claimed under 35 U.S.C. 119.
9. ☐ The certified copy of the priority application has been filed in the application as originally filed on \_\_\_\_\_.
10. ☐ An appointment of associates is enclosed.
11. ☒ Address all future communications to Anne Vachon Dougherty, 3173 Cedar Road, Yorktown Heights, N.Y. 10598.
12. ☒ A preliminary amendment to this application is enclosed.
13. ☐ Enter in this application the amendment under 37 C.F.R. 1.116 which was unentered in the prior application.

for IBM Corporation  
3173 Cedar Road  
Yorktown Heights, NY 10598

Anne Vachon Dougherty  
Anne Vachon Dougherty  
Reg. No. 30,374  
(914) 962-5910